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PTO/SB/05 (03-03)  
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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**UTILITY  
PATENT APPLICATION  
TRANSMITTAL**

(Only for new nonprovisional applications  
under 37 CFR 1.53(b))

|                        |   |
|------------------------|---|
| Attorney Docket No.    | 9144  |
| First Inventor         | Marjorie Mossman Peffly   |
| Assignee               | The Procter & Gamble Company  |
| Title                  | PERSONAL CARE COMPOSITION<br>CONTAINING A CATIONIC<br>CELLULOSE POLYMER AND AN ANIONIC<br>SURFACTANT SYSTEM |
| Express Mail Label No. | EL 990666973 US   |

**APPLICATION ELEMENTS**

See MPEP Chapter 600 concerning utility patent application contents.

Mail Stop Patent Application  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original, and a duplicate for fee processing)
2. ☒ Specification Total Pages [32]  
(preferred arrangement set forth below)
  - Descriptive Title of the Invention
  - Cross References to Related Applications
  - Statement Regarding Fed sponsored R&D
  - Reference to sequence listing, a table, or a computer program listing appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
3. ☐ Drawing(s) (35 USC §113) Total Sheets ☐
4. Oath or Declaration Total pages [2]
  - a. ☒ Newly executed (original or copy)
  - b. ☐ Copy from a prior application (37 CFR §1.63(d))  
(for continuation/divisional with Box 17 complete)
    - i. ☐ **DELETION OF INVENTORS**  
Signed statement attached deleting inventor(s)  
named in the prior application,  
see 37 CFR §§1.63(d)(2) and 1,33(b).
5. ☐ Application Data Sheet. See 37 CFR §1.76
6. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
7. Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)
  - a. ☐ Computer Readable Form (CRF)
  - b. Specification Sequence Listing on:
    - i. ☐ CD-ROM or CD-R (2 copies); or
    - ii. ☐ Paper
  - c. ☐ Statement verifying identity of above copies

**ACCOMPANYING APPLICATION PARTS**

8. ☐ Assignment Papers (cover sheet & document(s))
9. ☐ 37 CFR 3.73(b) Statement ☐ Power of Attorney  
(when there is an assignee)
10. ☐ English Translation Document (if applicable)
11. ☐ Information Disclosure ☐ Copies of IDS  
Statement (IDS)/PTO-1449/SB08 Citations
12. ☐ Preliminary Amendment
13. ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
14. ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
15. ☐ Nonpublication Request under 35 U.S.C.  
122(b)(2)(B)(i). Applicant must attach form  
PTO/SB/35 or its equivalent.
16. ☐ Other: .....

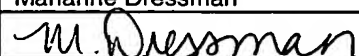
17. If a **CONTINUING APPLICATION**, check appropriate box and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR §1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No. /

Prior application information: Examiner: \_\_\_\_\_ Group/Art Unit: \_\_\_\_\_  
For **CONTINUATION OR DIVISIONAL APPS** only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

**19. CORRESPONDENCE ADDRESS**

|   |                                     |
|---|-------------------------------------|
| <input checked="" type="checkbox"/> Customer Number | (Insert Customer No. here)<br>27752 |
|---|-------------------------------------|

|                   |   |                                   |            |
|-------------------|---|-----------------------------------|------------|
| Name (Print/Type) | Marianne Dressman   | Registration No. (Attorney/Agent) | 42,498     |
| Signature         |  | Date                              | 01-15-2004 |

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, D.C. 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

(Revised for P&G use 7/17/2003)



# FEE TRANSMITTAL for FY 2004

Patent fees are subject to annual revision.

## Complete if Known

|                      |                         |
|----------------------|-------------------------|
| Application Number   |                         |
| Confirmation Number  |                         |
| Filing Date          | 01-15-2004              |
| First Named Inventor | Marjorie Mossman Peffly |
| Examiner Name        |                         |
| Art Unit             |                         |
| Attorney Docket No.  | 9144                    |

TOTAL AMOUNT OF PAYMENT (\$914.00)

## METHOD OF PAYMENT

1. ☒ The Director is hereby authorized to charge indicated fees submitted on this form, credit any over payments, and charge any additional fee(s) during the pendency of this application to:

Deposit Account Number: 16-2480

Deposit Account Name: The Procter & Gamble Company

## FEE CALCULATION

### 1. BASIC FILING FEE - Large Entity

| Code (\$) | Fee Description        | Fee Paid                 |
|-----------|------------------------|--------------------------|
| 1001 770  | Utility filing fee     | [770.00]                 |
| 1002 340  | Design filing fee      | <input type="checkbox"/> |
| 1004 770  | Reissue filing fee     | <input type="checkbox"/> |
| 1005 160  | Provisional filing fee | <input type="checkbox"/> |

SUBTOTAL (1) (\$)[770.00]

### 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE - Large Entity

|                    |               | Extra<br>Claims | Fee from<br>Below        | Fee<br>Paid                |
|--------------------|---------------|-----------------|--------------------------|----------------------------|
| Total Claims       | [28] - 20** = | [8] x           | [18.00]                  | = [144.00]                 |
| Independent Claims | [3] - 3** =   | [0] x           | <input type="checkbox"/> | = <input type="checkbox"/> |
| Multiple Dependent |               |                 | <input type="checkbox"/> | = <input type="checkbox"/> |

\*\* or number previously paid, if greater; For Reissues, see below

| Code (\$) | Fee Description   |
|-----------|---|
| 1202 18   | Claims in excess of 20                                  |
| 1201 86   | Independent claims in excess of 3                       |
| 1203 290  | Multiple dependent claim, if not paid                   |
| 1204 86   | **Reissue independent claims over original patent       |
| 1205 18   | **Reissue claims in excess of 20 & over original patent |

SUBTOTAL (2) (\$)[144.00]

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

| Code (\$)   | Fee Description   | Fee Paid                 |
|-------------|---|--------------------------|
| 1051 130    | Surcharge-late filing fee or oath   | <input type="checkbox"/> |
| 1052 50     | Surcharge-late provisional filing fee or cover sheet  | <input type="checkbox"/> |
| 1053 130    | Non-English specification   | <input type="checkbox"/> |
| 1812 2,520  | For filing a request for <i>ex parte</i> reexamination  | <input type="checkbox"/> |
| 1804 920*   | Requesting publication of SIR prior to Examiner's action  | <input type="checkbox"/> |
| 1805 1,840* | Requesting publication of SIR after Examiner's action   | <input type="checkbox"/> |
| 1251 110    | Extension for reply within 1 <sup>st</sup> month  | <input type="checkbox"/> |
| 1252 420    | Extension for reply within 2 <sup>nd</sup> month  | <input type="checkbox"/> |
| 1253 950    | Extension for reply within 3 <sup>rd</sup> month  | <input type="checkbox"/> |
| 1254 1,480  | Extension for reply within 4 <sup>th</sup> month  | <input type="checkbox"/> |
| 1255 2,010  | Extension for reply within 5 <sup>th</sup> month  | <input type="checkbox"/> |
| 1401 330    | Notice of Appeal  | <input type="checkbox"/> |
| 1402 330    | Filing a brief in support of an appeal  | <input type="checkbox"/> |
| 1403 290    | Request for oral hearing  | <input type="checkbox"/> |
| 1451 1,510  | Petition to institute a public use proceeding   | <input type="checkbox"/> |
| 1452 110    | Petition to revive - unavoidable  | <input type="checkbox"/> |
| 1453 1,330  | Petition to revive - unintentional  | <input type="checkbox"/> |
| 1501 1,330  | Utility issue fee (or reissue)  | <input type="checkbox"/> |
| 1502 480    | Design issue fee  | <input type="checkbox"/> |
| 1460 130    | Petitions to the Commissioner   | <input type="checkbox"/> |
| 1807 50     | Processing fee under 37 C.F.R. 1.17(q)  | <input type="checkbox"/> |
| 1806 180    | Submission of Information Disclosure Statement  | <input type="checkbox"/> |
| 1809 770    | Filing a submission after final rejection (37 CFR § 1.129(a))   | <input type="checkbox"/> |
| 1810 770    | For each additional invention to be examined (37 CFR § 1.129(b))  | <input type="checkbox"/> |
| 1801 770    | Request for Continued Examination (RCE)   | <input type="checkbox"/> |
| 1802 900    | Request for expedited examination of a design application   | <input type="checkbox"/> |
| 1454 1330   | Acceptance of unintentionally delayed claim for priority under 35 U.S.C. 119, 120, 121, or 365 (a) or (c) | <input type="checkbox"/> |
|             | Other fee (specify) _____   | <input type="checkbox"/> |
|             | Other fee (specify) _____   | <input type="checkbox"/> |

\* Reduced by Basic Filing Fee Paid SUBTOTAL(3) (\$)☐

## SUBMITTED BY

|                   |                   |                                      |        |                          |                |
|-------------------|-------------------|--------------------------------------|--------|--------------------------|----------------|
| Name (Print/Type) | Marianne Dressman | Registration No.<br>(Attorney/Agent) | 42,498 | Complete (if applicable) |                |
| Signature         |                   |                                      |        | Telephone                | (513) 626-0673 |
|                   |                   |                                      |        | Date                     | 01-15-2004     |

This collection of information is required by 37 CFR 1.17. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon individual case. Any comments on the amount of time you are required to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P. O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.